

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER <b>PU-0082</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5) <b>10/009,228</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US00/12528</b>	INTERNATIONAL FILING DATE <b>08 May 2000</b>	PRIORITY DATE CLAIMED <b>10 May 1999</b>
<b>TITLE OF INVENTION COMPOSITIONS AND METHODS FOR IMPROVING LEARNING AND MEMORY</b>		
<b>APPLICANT(S) FOR DO/EO/US Joe Z. TSIEN</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).        a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).        b. <input type="checkbox"/> has been transmitted by the International Bureau.        c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))        a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).        b. <input type="checkbox"/> have been transmitted by the International Bureau.        c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.        d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>Items 11. to 16. below concern other document(s) or information included:</b>		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information:        - A copy of the Notification of Missing Requirements dated February 20, 2002.        - Associate Power of Attorney.        - Return postcard.</p>		
EXPRESS MAIL Mailing Label No. <b>EL899366077US</b> Date of Deposit: <b>12 March 2002</b>		
<b>EL899366077US</b>		

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<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492(a)(1) - (5)):</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00</p> <p>International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO.....\$890.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00</p>		<u>CALCULATIONS</u> <u>PTO USE ONLY</u>																				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$																				
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>_ 20</u> <input checked="" type="checkbox"/> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">Claims</th> <th style="width: 25%;">Number Filed</th> <th style="width: 25%;">Number Extra</th> <th style="width: 25%;">Rate</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>- 3 =</td> <td></td> <td>x \$84.00</td> </tr> <tr> <td colspan="2">Multiple dependent claims(s) (if applicable)</td> <td></td> <td>+ \$280.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> </tr> </tbody> </table>		Claims	Number Filed	Number Extra	Rate	Total claims	- 20 =		X \$18.00	Independent Claims	- 3 =		x \$84.00	Multiple dependent claims(s) (if applicable)			+ \$280.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$130.00
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<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$ 65.00																				
<b>SUBTOTAL =</b>		\$ 65.00																				
Processing fee of <b>\$130.00</b> for furnishing the English translation later the <u>_ 20</u> <u>- 30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$																				
<b>TOTAL NATIONAL FEE =</b>		\$ 65.00																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property		+ \$ 40.00																				
<b>TOTAL FEES ENCLOSED =</b>		\$105.00																				
03/15/2002 HNGUYEN 00000085 10009228 01 FC:254                                    65.00 OP		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;"><input type="checkbox"/></td> <td style="width: 50%;"><b>Amount to be: refunded</b></td> </tr> <tr> <td colspan="2" style="text-align: center;">\$</td> </tr> <tr> <td colspan="2" style="text-align: center;"><input type="checkbox"/> <b>charged</b></td> </tr> <tr> <td colspan="2" style="text-align: center;">\$</td> </tr> </table>	<input type="checkbox"/>	<b>Amount to be: refunded</b>	\$		<input type="checkbox"/> <b>charged</b>		\$													
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<p>a. <input checked="" type="checkbox"/> A check in the amount of <b>\$ 105.00</b> to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner if hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.</p>																						
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																						
SEND ALL CORRESPONDENCE TO: <p style="margin-left: 40px;"><u>Janet E. Reed</u></p>																						
<p><b>SIGNATURE</b></p> <p><u>Janet E. Reed</u></p> <p>NAME</p>																						
<p><b>REGISTRATION NUMBER</b></p> <p><u>36,252</u></p>																						